



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/993,401 | 11/14/2001 | Craig Andrews | LYNN/0089.A |

CONFIRMATION NO. 9937

FORMALITIES LETTER



OC000000007323682

STREETS & STEELE
13831 Northwest Freeway, Suite 355
Houston, TX 77040

Date Mailed: 01/17/2002



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$114.
 - \$72 for 8 total claims over 20.
 - \$42 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 549.

*A copy of this notice **MUST** be returned with the reply.*

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

04/18/2002 09:00:00 00000017 500714 09993401 PART I - ATTORNEY/APPLICANT COPY

| | |
|-----------|-----------|
| 01 FC:201 | 370.00 CH |
| 02 FC:205 | 65.00 CH |
| 03 FC:203 | 72.00 CH |
| 04 FC:202 | 42.00 CH |



0460

04-23-02

GP 1745
#45

PATENT
Atty. Dkt. No. LYNN/0089.A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Craig Andrews, et al.

Serial No.: 09/993,401

Filed: November 14, 2001

For: A GAS HUMIDIFICATION DEVICE
FOR OPERATION, TESTING AND
EVALUATION OF FUEL CELLS

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Group Art Unit: 1745

Examiner: Unknown

RECEIVED

APR 18 2002

TC 1700

Assistant Commissioner for Patents
Washington, D.C. 20231

| | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|
| CERTIFICATE OF MAILING 37 C.F.R. 1.8 | |
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below: | |
| 4/9/02 Date | <i>Debra Liba</i> Signature |

Sir:

PETITION FOR ONE-MONTH EXTENSION OF TIME

Applicant respectfully petitions the Commissioner under 37 CFR 1.136(a) to grant a one-month extension of time to and including April 17, 2002, in which to file a **Response to the Notice of Missing Parts dated January 17, 2002.**

This form is filed in duplicate.

The Commissioner is authorized to charge any fees which may be required for this submission to Deposit Account No. 50-0714/LYNN/0089.A.

Respectfully submitted,

Jeffrey L. Streets
Jeffrey L. Streets

Reg. No. 37,453

Attorney for Applicant

STREETS & STEELE

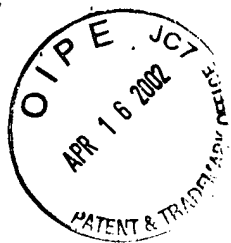
13831 Northwest Freeway, Suite 355

Houston, Texas 77040

(713) 939-9444

04/19/2002 RMEBRAHT 00000017 500714 09993401

01 FC:215 55.00 CH



Patent
Atty. Dkt. No. LYNN//089.A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Craig Andrews, et al.

Serial No.: 09/993,401

Filed: November 14, 2001

For: A GAS HUMIDIFICATION DEVICE
FOR OPERATION, TESTING, AND
EVALUATION OF FUEL CELLS

Assistant Commissioner of Patents
Attn: Box Missing Parts
Washington, D.C. 20231

Group Art Unit: 1745

Examiner: Unassigned

Dear Sir:

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, Attn: Box Missing Parts, on the date below:

4/9/02
Date Signature

RESPONSE TO NOTICE TO FILE MISSING PARTS

Applicant files this Response to a Notice to File Missing Parts (Form PTO-1533) mailed, January 17, 2002, having a two-month response period set to expire on March 17, 2002. The notice (copy enclosed) indicates that the application was filed without the Oath or Declaration.

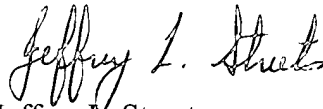
Enclosed for filing in the above-referenced application is a Combined Declaration and Power of Attorney in response to the missing parts request. Also, enclosed is an Assignment with Recordation Coversheet and a Petition for Extension of Time.

APPLICANT IS CLAIMING SMALL ENTITY STATUS.

The PTO did not receive the following listed item(s) Assignment and Extension

Commissioner has permission to charge deposit account number 50-0714/LYNN//089.A for fees/charges for recording the Assignment and any other fees or surcharges associated with filing these documents.

Respectfully submitted,

A handwritten signature in cursive script that reads "Jeffrey L. Streets".

Jeffrey L. Streets
Registration No. 37,453
STREETS & STEELE
13831 Northwest Freeway, Suite 355
Houston, Texas 77040
(713) 939-9444
Attorney for Applicant

#4

TRANSMITTAL OF FORMAL DRAWINGS

Docket No.
LYNN/0089.A

In Re Application Of:
Craig Andrews, et al.

APR 16 2002

Serial No.
09/993,401

Filing Date
Nov. 14, 2001

Batch No.

Examiner

Art Unit
1745

Invention:

A GAS HUMIDIFICATION DEVICE FOR OPERATION, TESTING AND EVALUATION OF FUEL CELLS

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith are:

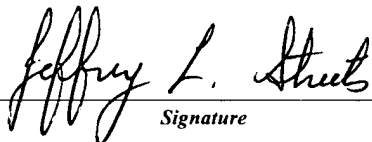
4 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c)
on the reverse side of the drawing.

RECEIVED

APR 18 2002

IC 1700


Signature

Jeffrey L. Streets

Dated: 4-9-2002

I certify that this document and attached formal drawings
are being deposited on April 9, 2002 with the
U.S. Postal Service as first class mail under 37 C.F.R. 1.8
and addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Debi Labay

Typed or Printed Name of Person Mailing Correspondence